



Atty. Dkt. No. 072121-0189-Reg

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Jang HAN et al.

Title: Modified Small Interfering RNA Molecules and Methods of Use

Appl. No.: 10/626,879

Filing Date: July 25, 2003

Examiner: Not yet assigned

Art Unit: Not yet assigned

**TRANSMITTAL OF MISSING PARTS**  
**OF PATENT APPLICATION**

**Mail Stop: Missing Parts**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the Notice to File Missing Parts of Application mailed May 7, 2004, in the above-identified application, transmitted herewith are the missing parts to complete the filing of the subject patent application.

Enclosed are:

- ☒ [ X ] Return Copy of Notice to File Missing Parts (2 pgs.);
- ☒ [ X ] Declaration and Power of Attorney (2 copies, 6 pgs.);
- ☒ [ X ] Statement to Support Filing and Submission in Accordance with 37 C.F.R. §§ 1.821-1.825 (1 pg.), together with computer-readable format of Sequence Listing (1 disk) and paper-readable format of Sequence Listing (20 pgs.); and
- ☒ [ X ] Amendment in Response to Notice Under 37 C.F.R. §§ 1.821-1.825 (4 pgs.).

~~11/02/2004 KBETEMAI 00000082 10626879~~

~~05\_EC+1254~~

~~1530.00 DP~~

☒ Applicant hereby petitions for an extension of time under 37 C.F.R. §1.136(a) for the total number of months checked below:

- ☐ Extension for response filed within the first month  
☐ Extension for response filed within the second month  
☐ Extension for response filed within the third month  
☒ Extension for response filed within the fourth month  
☐ Extension for response filed within the fifth month

The filing fee is calculated below:

	Claims as Filed	Included in Basic Fee	Extra Claims	Rate	Fee Totals
Basic Fee				\$790.00	\$790.00
Total Claims:	66	- 20	= 46	x \$18.00	= \$828.00
Independent ents:	6	- 3	= 3	x \$88.00	= \$264.00
If any Multiple Dependent Claim(s) present:				+ \$300.00	= \$0.00
Surcharge under 37 CFR 1.16(e) for late filing of Executed Declaration and late payment of filing fee				+ \$130.00	= \$130.00
<input checked="" type="checkbox"/> Extension fee for response filed within the fourth month:				+ \$1,530.00	= \$1,530.00
				SUBTOTAL:	= \$3,542.00
<input type="checkbox"/> Small Entity Fees Apply (subtract 1/2 of above):					= \$0.00
				TOTAL FILING FEE:	= \$3,542.00
				TOTAL FEE	= \$3,542.00
Difference to pay:				- \$0.00	- \$3,542.00

☒ Check in the amount of \$3,542.00 in payment of  
surcharge fee (37 C.F.R. § 1.16(e))

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or

Transmittal of Missing Parts of Patent Application  
U.S. Appln. No.: 10/626,879

Atty. Dkt. No. 072121-0189-Reg

even entirely missing, the Commissioner is authorized to charge the unpaid amount to  
Deposit Account No. 19-0741.

Respectfully submitted,

Date

November 1, 2004

By

Richard C. Peet

FOLEY & LARDNER LLP  
Customer Number: 27476  
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Facsimile: (202) 672-5399

Richard C. Peet  
Attorney for Applicant  
Registration No. 35,792



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1459  
Alexandria, Virginia 22313-1459  
www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/626,879	07/25/2003	Jang Han	072121-0189-Reg

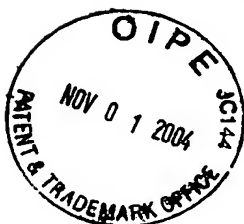
27478  
Chiron Corporation  
Intellectual Property - R440  
P.O. Box 8097  
Emeryville, CA 94662-8097

CONFIRMATION NO. 1049

## FORMALITIES LETTER

OC000000012567124

Date Mailed: 05/07/2004



## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- This application clearly fails to comply with the requirements of 37 CFR. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

SEARCHED on/ by 5/11/04  
INDEXED by MJM  
File # 0719594.005  
Duc Date 7/7/04 Exl MP5 SL5  
Final Date 12/7/04 MP5 SL5

11/02/2004 KBETEM1 00000082 10626879

01 FC:1001	790.00 OP
02 FC:1202	828.00 OP
03 FC:1201	264.00 OP
04 FC:1051	130.00 OP

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$1086 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$1986 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$1086
  - \$258 for 3 independent claims over 3.
  - \$828 for 46 total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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*A copy of this notice **MUST** be returned with the reply.*

Y. G.  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY